What is NBA BankPAC?

NBA BankPAC is the bipartisan political action committee of the NBA. Its purpose is to solicit contributions from individual bankers in Nebraska for both state and national elections. NBA BankPAC is composed of two separate, segregated funds: the State BankPAC and the Federal BankPAC.

- NBA BankPAC uses the funds raised to support candidates who help promote a strong and competitive banking system.
- NBA State BankPAC can receive individual, associate member, state bank, and holding company State BankPAC contributions. National banks cannot donate to the State BankPAC.
- NBA Federal BankPAC can receive individual BankPAC contributions only.
- NBA BankPAC is operated by a committee representing each of the Nebraska Bankers Association’s groups. Members of the NBA BankPAC Committee determine which candidates to support by considering the recommendations of individual NBA members and carefully reviewing the following set of criteria.
  - The candidate’s positions on banking and business issues.
  - The candidate’s voting record, leadership ability, and committee assignments.
  - The probability of the candidate’s success.
- Contributions to NBA BankPAC are not deductible for state or federal income tax purposes.

Can we count on you and your staff to contribute?

Many legislators who have worked for the banking industry’s best interests are now being term limited. In other races, new candidates, if elected to the state Legislature or Congress, must be willing and able to represent the concerns of bankers. BankPAC needs our contributions to show these candidates that the industry appreciates their efforts to promote a fair economic system. BankPAC’s strength lies in the involvement of bank professionals like you. Through your financial institution and/or personal contribution, you are joining other bankers who have already made a commitment to BankPAC. Together, we will make the voice of the banking industry in the Nebraska Legislature and Congress even stronger.

How are you recognized?

Send your total contributions along with the completed record slip to the Nebraska Bankers Association. Watch the NBA Update for BankPAC Honor Rolls that include holding company/bank contributions, recognition for bank board of directors’ participation, and recognition for 100 percent employee and/or officer participation.

Who receives State BankPAC contributions? (Contributions by banks/holding companies and exempt and non-exempt employees)

Candidates for Nebraska Legislature as well as candidates for state offices.

Who receives Federal BankPAC contributions? (Contributions by exempt employees)

Candidates for U.S. Congress. Funds donated to NBA BankPAC support candidates for federal office who support the banking industry.

What is the NBA’s contribution goal?

The NBA BankPAC Committee has set a fundraising goal of $155,000 for 2019. This goal is divided into a State BankPAC goal of $102,000 and a Federal BankPAC goal of $53,000. For the banking industry to achieve its objectives, we must have strong support from financial institutions across the state. BankPAC serves as the collective voice of the banking industry across the state of Nebraska.
Solicitation Guidelines

Before starting your fundraising campaign, you and anyone helping you with your campaign should know and understand the solicitation rules before beginning the solicitation process. The Federal Election Commission and the Nebraska Accountability and Disclosure Commission have established the legal parameters for the solicitation process.

Who May Be Solicited and/or Contribute:

- **Federal BankPAC Solicitation**
  (INSTITUTION MUST BE FEDERALLY AUTHORIZED WITH NBA)

  **Executive and administrative personnel.** These include employees who are paid a salary (rather than hourly) and who have policymaking, managerial, professional, or supervisory responsibilities (officers, branch managers, division or group managers) as well as professionals such as lawyers or accountants who are employed by the bank.

- **Board members and other stockholders, if they have:**
  1) a vested beneficial interest in the stock;
  2) the power to direct how the stock will be voted; and
  3) the right to receive dividends.

- **State BankPAC Solicitation**
  All bank employees and state-chartered banks/holding companies may be solicited at any time.

Points to Remember When Soliciting Employees:

- All contributions must be voluntary. Absolutely NO form of coercion may be used to solicit a contribution.
- A suggested contribution is just that, a suggestion. An employee is free to contribute more or less.
- It must be clear to all employees that they will not be favored, disadvantaged, or retaliated against based on their contribution amount or their decision not to contribute.
- Contributions to NBA BankPAC are not deductible for state or federal income tax purposes.
- Federal law requires political action committees to report the name, mailing address, occupation, and name of employer for each individual whose contributions aggregate in excess of $200 in a calendar year. State law requires reporting for contributions of $250 or more in a calendar year.

How much should I give to NBA BankPAC?

A bank and/or holding company’s suggested minimum “fair share” contribution is determined by a formula based on an institution’s total deposits. Your institution’s fundraising goal is equal to $5 for every $1 million in total deposits with a minimum $250 fair-share contribution. Examples of a bank’s fair share contribution to the State BankPAC are provided below.

<table>
<thead>
<tr>
<th>Deposit Size</th>
<th>Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1 billion and above</td>
<td>$7,500</td>
</tr>
<tr>
<td>$500 million</td>
<td>$5,000</td>
</tr>
<tr>
<td>$250 million</td>
<td>$2,500</td>
</tr>
<tr>
<td>$150 million</td>
<td>$1,250</td>
</tr>
<tr>
<td>$100 million</td>
<td>$  750</td>
</tr>
<tr>
<td>$50 million and below</td>
<td>$  500</td>
</tr>
</tbody>
</table>

The following individual contribution guidelines are only suggestions. If these guidelines are not appropriate for your bank, please revise them to fit your needs. A donation in any amount is greatly appreciated. In the end, the most important part of this process is participation. We are aiming for 100 percent participation in our industry and that means everyone working together to make a difference.

**INDIVIDUAL GOALS***

<table>
<thead>
<tr>
<th>Position</th>
<th>Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>CEO/President/Chairman</td>
<td>$500-$1,000</td>
</tr>
<tr>
<td>Board of Directors</td>
<td>$100-$200</td>
</tr>
<tr>
<td>Senior Officers</td>
<td>$100-$200</td>
</tr>
<tr>
<td>Assistant Vice Presidents/Branch Managers</td>
<td>$50-$100</td>
</tr>
<tr>
<td>Junior Officers</td>
<td>$25-$50</td>
</tr>
<tr>
<td>Other Employees</td>
<td>$10-$25</td>
</tr>
</tbody>
</table>

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2019-2021 FEDERAL AUTHORIZATION FORM

Nebraska Bankers Federal Political Action Committee  
P.O. Box 80008 - Lincoln, NE  68501-0008

- Contributions or gifts to BankPAC are not deductible as charitable contributions for federal income tax purposes.
- Nebraska BankPAC is affiliated with ABA BankPAC.
- We herewith approve exclusive solicitation rights to the Federal BankPAC and/or the affiliated political action committee for the following years:

2019:
Authorized Signature: __________________________

2020:
Authorized Signature: __________________________

2021:
Authorized Signature: __________________________

Print Name: ___________________________________

Title: _________________________________________

Institution: ____________________________________

Date: ______________________________

Please remit this form to:  Jennifer Heaton, Nebraska Bankers Association,  
PO Box 80008, Lincoln, NE  68501

Federal law requires political committees to report the name, mailing address, occupation, and name of employer for each individual whose contributions aggregate in excess of $200 in a calendar year.

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Please provide the following information:

Name: ____________________________
Title: _____________________________
Institution: _________________________
Address: __________________________
City, State & Zip: __________________
E-mail: ___________________________

Total amount enclosed: ________________

Please make your check payable to the NBA State BankPAC.

Contributions or gifts to BankPAC are not deductible as charitable contributions for federal income tax purposes.

Please mail this contribution form along with your check to the NBA State BankPAC to:

NBA State BankPAC
P.O. Box 80008
Lincoln, NE 68501-0008

Why does BankPAC need me?

We are all in this together!

It does not matter if you are a senior officer, a director, or a part-time teller; your participation and your institution’s participation make a difference.

If you benefit from the banking industry in Nebraska, you have something to lose if bad laws are passed and much to gain when pro-banking laws are passed. It takes each one of us doing our part.

This is not a time for solos; we need a choir to make our voices heard!

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Power Purpose Partnership

Please note: Corporate contributions to the State PAC can be accepted from individuals, state-chartered banks and state-chartered savings banks, as well as the state-chartered holding company of a state or national bank or state or federal savings bank

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Funds donated to NBA State BankPAC support candidates for a State office who support the banking industry.
Can We Count on YOU?

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All contributions are voluntary. No form of coercion may be used to solicit contributions. A suggested contribution is just that, a suggestion. No employee shall be favored, disadvantaged, or retaliated against based on their contribution or their decision not to contribute.